

An introduction to the Health Data Research UK Gateway: facilitating discovery and access to health data



Current: Head of AI and Data Science, ICO



Our mission

HDR UK's mission is to unite the UK's health data to enable discoveries that improve people's lives

Our 20-year vision is for large scale data and advanced analytics to benefit every patient interaction, clinical trial, biomedical discovery and enhance public health.

Core funders



















Programme funders







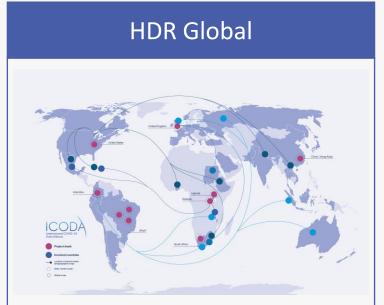




One Institute Partnerships

Three programmes working with local, regional, national and international partners across NHS, academic and industrial ecosystems:







Drawing on health data science expertise and infrastructure across the UK and globally. Delivering the transformative potential of health data research to impact everyone, everywhere

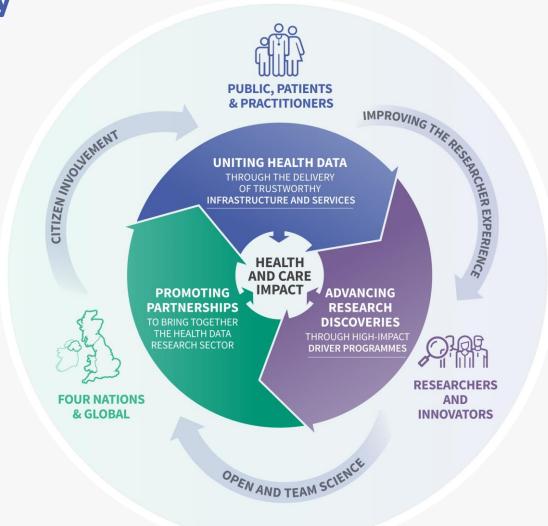
Our future strategy focuses on three integrated areas of activity

HDRUK Health Data Research UK

Uniting health data

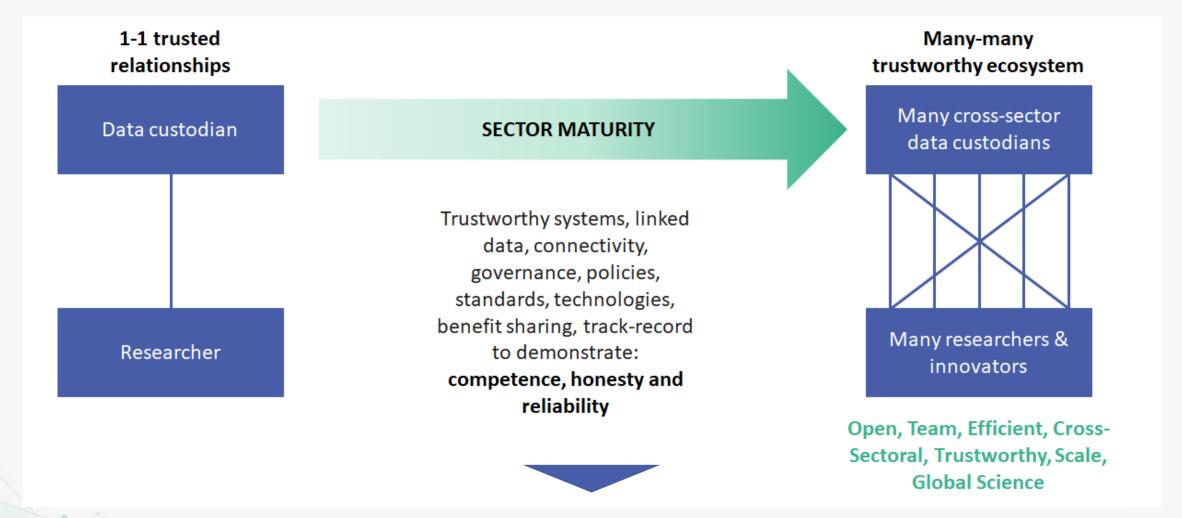
Advancing research discoveries

Promoting partnerships



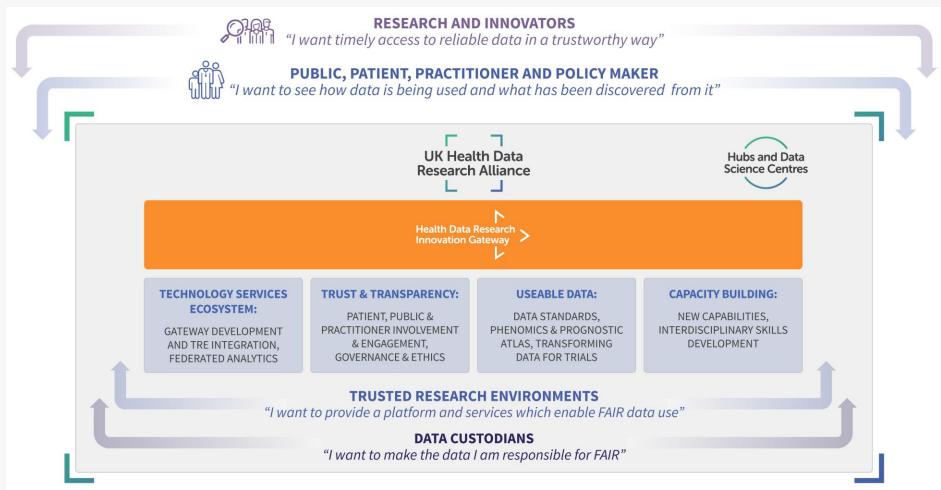
A trustworthy health data research ecosystem – differentiated talent, emerging technology, robust datasets, all connected through a platform





1. Research Data Infrastructure and Services





Uniting health data through delivery of FAIR Research Data Infrastructure and Services

UK Health Data Research Alliance

Leading healthcare and research organisations united to establish best practice, standards and tools



Our aim is for the Alliance to be internationally recognised as a powerful collaboration that is enabling trustworthy use of health-relevant data at scale and networked to deliver public benefit.

The UK Health Data Research Alliance (70 members and growing...)











































































NHS Foundation Trust

















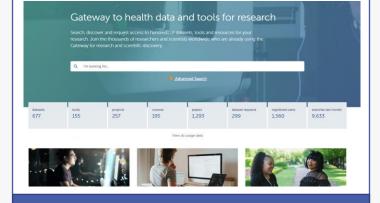


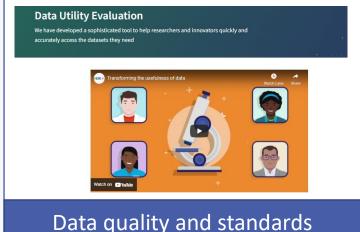
Research Data Infrastructure and Services

We have built a UK-wide FAIR data infrastructure to accelerate and streamline health data research at scale









UK Health Data Research Alliance







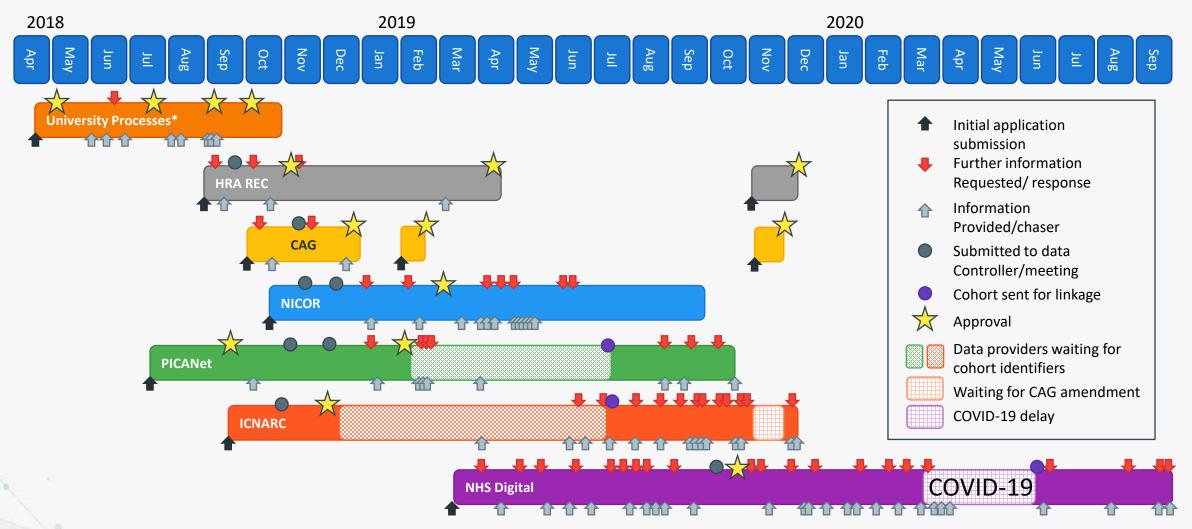
Governance and ethics





The road to hell is paved with good intentions...

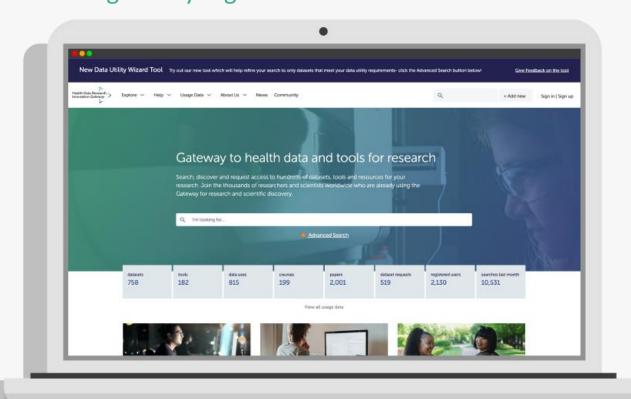




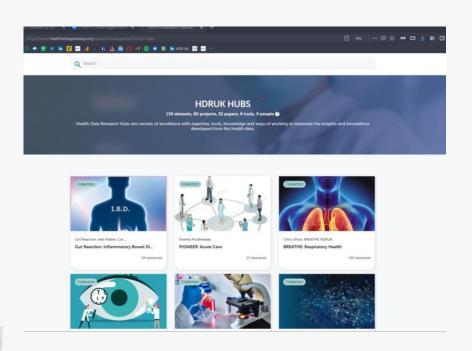
Streamlining access to health data -> Accelerate research cycle times HRA and NHS Digital Checklist for Researchers (health data only - new applications) HRA **IDENTIFIABLE DATA** OHRA web tool **NHS ETHICS** confirmation of Contact From A. Bailey (MRC) study R approval letter research? MRC "Data tool" (in progress) **UK Health Data** S HRA Research Alliance ID What data do you "This route will phase out as ONS data becomes available via NHSD ONS* NON-NHS ETHICS data "Will include ONS data at some stage Consent materials REC Approval NHS DIGITAL, pre-application requirements Contact NHS Digital to apply for DSFC **EXIT** Does the DATA Identify DATA CONTROLLER have Contact NHS Digital to Map the CONTROLLER create a non research an NHS D Data data Sharing Framework application flows D Contract (DSFC)? NHS D DATA PROCESSOR(S) STORAGE & PROCESSING LOCATIONS All organisations are registered with the ICO and have security assurances (eg IGT) in place Request to Identify signatory who will have full legal access data via responsibility for ensuring the T&Cs in the NHSD DARS Agreement are kept DARS ONLINE Recommendation for APPLY Work with ENQUIRIES@NHSDIGITAL.NET approval from IGARD NHS D on Select details (eg required Purpose for datasets request) Articulate purpose for Approve request Etc.. If IDENTIFIABLE Data issued then type 2 **ACCESS** Outstanding questions: objections upheld A data only study – pseudo only data? Any role for

Building the Gateway for researchers and innovators to access health data www.healthdatagateway.org





- Access to request over 774 datasets, 188 tools, 199 educational courses and 2000+ publications
- A dedicated collection of datasets for each Hub 140 in total
- Facilitated 584 requests to access health datasets, in particular actively supporting the government's National Core Studies into COVID-19 ("Data and Connectivity")



"Really impressed with this resource. I think as a gateway to search by data type and indication, it's a really powerful tool."

David Leather, GSK



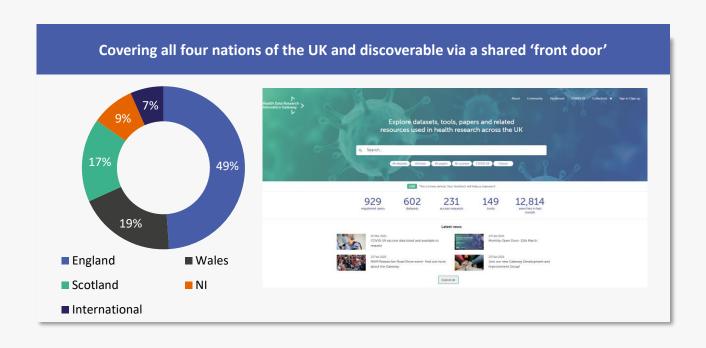


A platform already used by custodians to make their data available



- ✓ An independent Alliance of data custodians dedicated to improving human health
- 50 member organisations (incl. national bodies, hospital trusts, charities, cohorts)
- ✓ Guided by Principles for Participation based on transparency and public benefit
- ✓ Collaborating to design best practice and standards for the use of health data

















Accelerate FAIR-ness in a federated world -

Metrics, Cookbooks, Data Utility Framework, Maturity levels







- Q Unique, persistent identifiers Q Search engine optimization
- → More about Findability



Exemplar recipes:

- * Selecting terminologies and . Creating a metadata profile
- → More about Interoperability







(Assessment





Category	Dimension	Definition	Bronze	Silver	Gold	Platinum	
Data Documentation	Documentation Completeness	Proportion of metadata (as in the current HDR UK metadata specification) which is available in the expected format	61%-70%	71%-80%	81%-90%	91%-100%	
	Availability of additional documentation and support	Available dataset documentation in addition to the data dictionary	Past journal articles demonstrate that knowledge of the data exists	Comprehensive ReadMe describing extracting and use ofdata, Dataset FAQS available, Visual data model provided	As Silver, plus dataset publication was supported with a journal article explaining the dataset in detail, or dataset training materials	As Gold, plus support personnel available to answer questions	
	Data Model	Availability of clear, documented data model	Known and accepted data model but some keyfield un-coded or free text	Key fields codified using a local standard	Key fields codified using a national or international standard	Data Model conforms to a national standard and key fields codified using a national / international standard	
	Data Dictionary	Provided documented data dictionary and terminologies	Data definitions available	Definitions compiled into local data dictionary which is available online	Dictionary relates to national definitions	Dictionary is based on international standards and includes mapping	
	Provenance	Clear description of source and history of the dataset, providing a "transparent data pipeline"	Source of the dataset is documented	Source of the dataset and any transformations, rules and exclusions documented	All original data items listed, all transformations, rules and exclusion listed and impact of these	Ability to view earlier versions, including versions before any transformations have been applied data (in line with deidentification and (ii approval) and review the impact of each stage of data cleaning	
Technical Quality	Data Quality Management Process	The level of maturity of the data quality management processes	A documented data management plan covering collection, auditing, and management is available for the dataset	Evidence that the data management plan has been implemented is available		Externally verified compliance with the data management plan, e.g. by ISO, CQC, ICO or other body	
	Data Management Association (DAMA) Quality Dimensions	Technical data quality dimensions: Completeness, Uniqueness, Accuracy, Validity, Timeliness and Consistency	These elements will be calculated with data profiling tools, and the category breakflown evaluated following further data collection				
Coverage	Pathway coverage	Representation of multi-disciplinary healthcare data	Contains data from a single specialty or area	Contains data from multiple specialties or services within a single tier of care	Contains multimodal data or data that is linked across two tiers (e.g. primary and secondary care)	Contains data across more than two tiers	
Coverage							
Coverage	Length of follow up	Average timeframe in which a patient appears in a dataset (follow up period)	Between 1 - 6 months	Between 6 - 12 months	Between 1 - 10 years	More than 10 years	
	Length of follow up Allowable uses	in which a patient appears in a dataset	Between 1 - 6 months Available for specific academic research uses only	Between 6 - 32 months Available for academic and non-profit (e.g. charity, public sector) uses only		Available for wider commercial uses (in	
		in which a patient appears in a dataset (follow up period) Allowable dataset usages as per the licensing agreement, following ethical and	Months Available for specific academic research	Available for academic and non-profit (e.g. charity, public sector) uses	Available for limited commercial uses (e.g. relating to a specific domain), in addition to academic and other non-commercial	Available for wider commercial uses (in line with ethical and 1G approval), and addition to academic and other non-commercial	
	Allowable uses	in which a patient appears in a dataset (follow up period) Allowable dataset usages as per the licensing agreement, following ethical and ISG approval Lag between the data being collected and added to the	months Available for specific academic research uses only Approximately 1	months Available for academic and non-profit (e.g. Abarty, public sector) uses only Approximately 1	Available for limited commercial uses (e.g. relating to a specific domain), in addition to academic and other non-commercial uses. Approximately 1	Available for wider commercial uses (in line with ethical and 1G approval), and addition to academic and other non-commercial uses	
Coverage Access & Provision Value & Interest	Allowable uses	in which a patient appears in a dataset (follow up period) Allowable dataset usages as per the licensing agreement, following ethical and ISG approval Lag between the data being collected and added to the dataset	months Available for specific academic research uses only Approximately 1 year	months Available for academic and non-profit (e.g. charity, public sector) uses only Approximately 1 month	Between 1-10 years Available for limited commercial uses (e.g. relating to a specific domain), in addition to academic and other non-commercial uses Approximately 1 week	Available for wider commercial uses (in line with ethical and Ki approval), and addition to academic and other non-commercial uses Effectively real-time data	

				Level 4	Level 5
	Level 1 Initial	Level 2 Repeatable	Level 3 Defined	Managed	Optimised
Findable					
F1. (meta)data are assigned a globally unique and eternally persistent identifier. F2. data are described with rich metadata. F3. (meta)data are registered or indexed in a searchable resource. F4. metadata specify the data identifier.	No URI or PID and no documentation	PID without metadata or documentation	PID with limited metadata, just enough to understand the data	PID with standardised metadata registered or indexed in a trusted data repository	Extensive metadata and rich additional documentation available and searchable in a trusted data repository
Accessible					
A1 (meta)data are retrievable by their identifier using a standardized communications protocol. A1.1 the protocol is open, free, and universally implementable. A1.2 the protocol allows for an authentication and authorization procedure, where necessary. A2 metadata are accessible, even when the data are no longer available.	No user licence / unclear conditions of reuse / metadata nor data are accessible	No metadata and user Access restrictions apply with only bespoke access	Appropriately licensed and limited (meta)data retrievable using standardised protocols	Public access (after registration) With (meta)data accessible (even when data is no longer available)	Open Access (unrestricted)
Interoperable					
I1. (meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation. I2. (meta)data use vocabularies that follow FAIR principles. I3. (meta)data include qualified references to other (meta)data.	Proprietary, non-open format data	Proprietary format accepted by certified and trusted data repository	Non- proprietary, open format (archival format)	Data additionally harmonised/sta ndardised using a standard vocabulary	Data is additionally linked to other data to provide context
Re-usable					
R1. meta(data) have a plurality of accurate and relevant attributes. R1.1. (meta)data are released with a clear and accessible data usage license. R1.2. (meta)data are associated with their provenance. R1.3. (meta)data meet domain-relevant	No clear provenance of data (to facilitate replication and reuse)	Explication of how data was or can be used is available with user access restrictions	Data automatically usable by machines and (meta)data meet domain- relevant community standards	Data stored in a trusted data repository	Data is reliable and tested against gold standard (reference data)

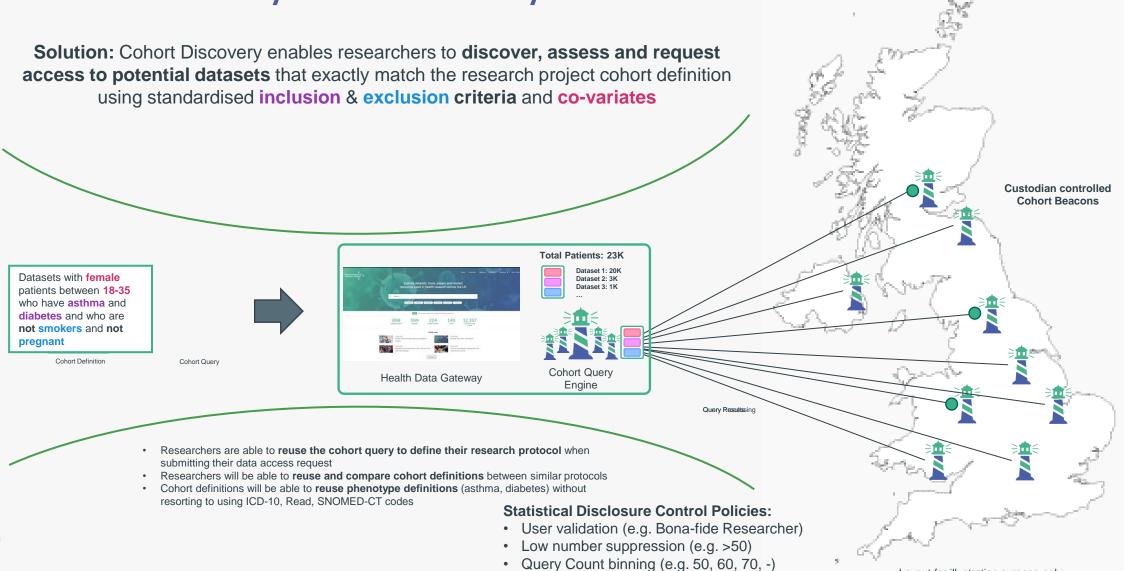
community standards.

The Health Data Gateway – Cohort Discovery

Researchers



Layout for illustration purpose only



· Query Rate limiting

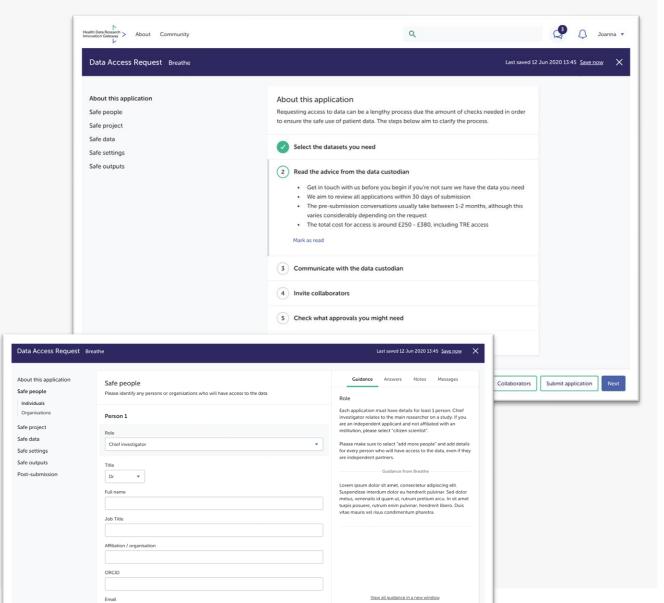
Improving data access – our work so far

- ✓ Worked with Alliance members to develop a common data access form based on the Five Safes* for datasets listed in the Gateway
- ✓ We went through an harmonisation process to identify a set of essential questions common to all custodians
- ✓ Has launched on the Gateway for 283 datasets and 12 custodians (2 more soon). Look to continue to encourage datasets listed in the Gateway to use the new form
- ✓ Had 584 data access requests using the new form (requesting 90 datasets)



^{*}Office for National Statistics: https://blog.ons.gov.uk/2017/01/27/the-five-safes-data-privacy-at-ons/

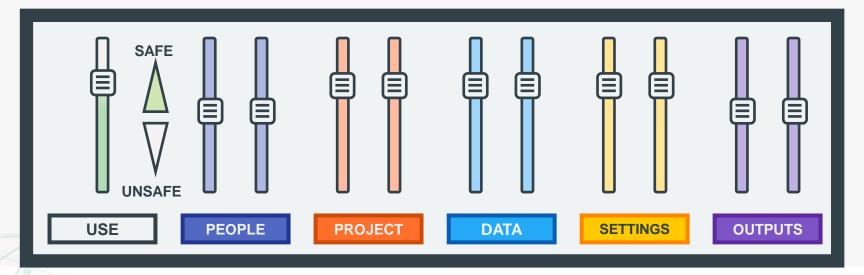




Data Governance – Risk Profiles based on the Five SAFE principles



Data Sensitivity Example Users



Data Use Registers

Getting the greatest use and benefit from the UK's rich health data is an important goal–but it is essential that those whose data is being used can see what is being done with it.

The Gateway data use register functionality clearly shows how datasets published on Gateway are being used, by whom and most importantly for what purpose.

What benefits does the data use register offer?



Automated process: Data custodians who use the Gateway data access management system are automatically told about use of their datasets. This cuts down on administration time and provides the public with real-time data.



Closing the loop on impact: By providing a clear link between data use and research outputs we can better demonstrate the impact and value of using health data for research.











Alignment to Five Safes Framework: To show that only qualified people in secure settings can access the data, and for purposes that benefit the public, the content and structure of individual data uses follows the Fives Safes framework.

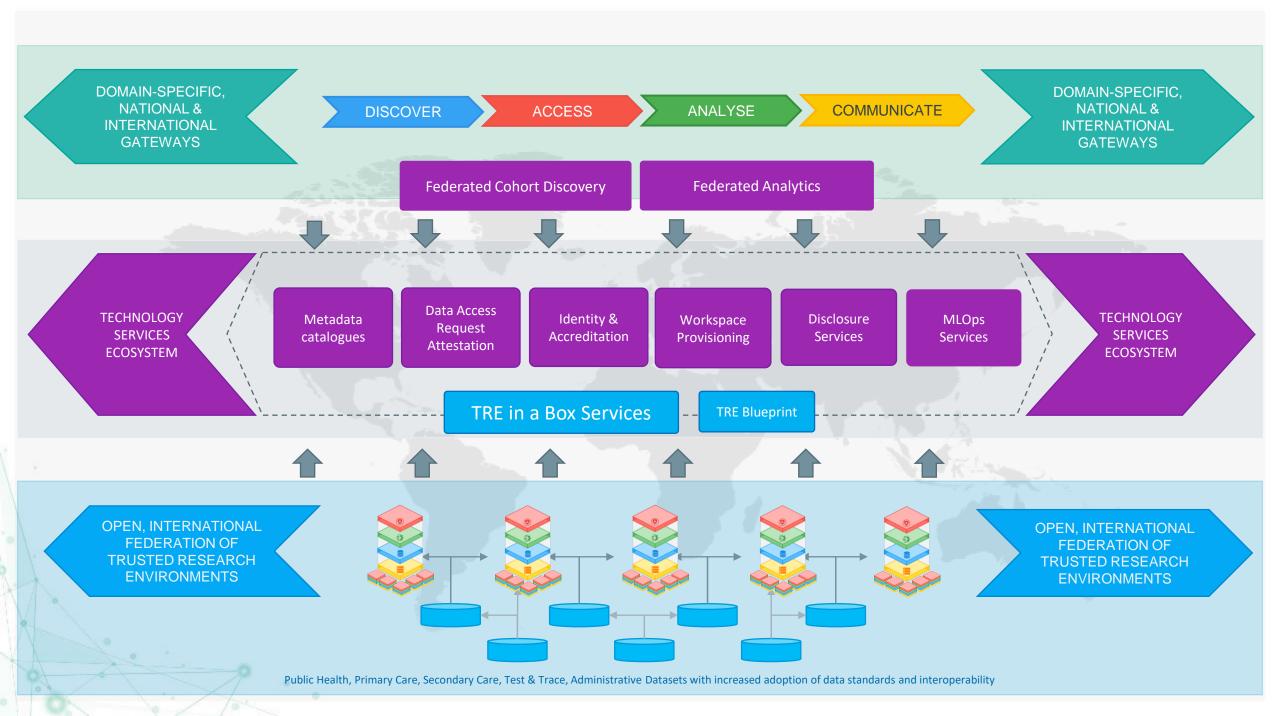


Best practice: The register implements a **national standard for data use registers**, developed after a wide consultation with data custodians and the public.

View the Data Use Register

For more information, visit the webpage

https://www.hdruk.ac.uk/access-to-health-data/data-use-registers/

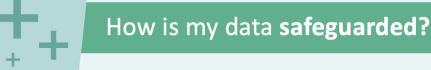


What is a TRE?

A TRE is a **Trusted Research Environment**. Also known as 'Data Safe Havens', TREs are highly secure computing environments that provide remote access to health data for approved researchers to use in research that can save and improve lives.







Health data should always be kept safe and secure, and used responsibly to ensure privacy. Heath Data Research UK ensures these high standards are met by promoting the use of the 'Five Safes' model across all TREs.



Safe People

Only trained and specifically accredited researchers can access the data



Safe Projects

Data is only used for ethical, approved research with the potential for clear public benefit



Safe Settings

Access to data is only possible using secure technology systems – the data never leaves the TRE



Safe Data

Researchers only use data that have been de-identifed to protect privacy



Safe Outputs

All research outputs are checked to ensure they cannot be used to identify subjects

Why are they **important?**



TREs make research safer.

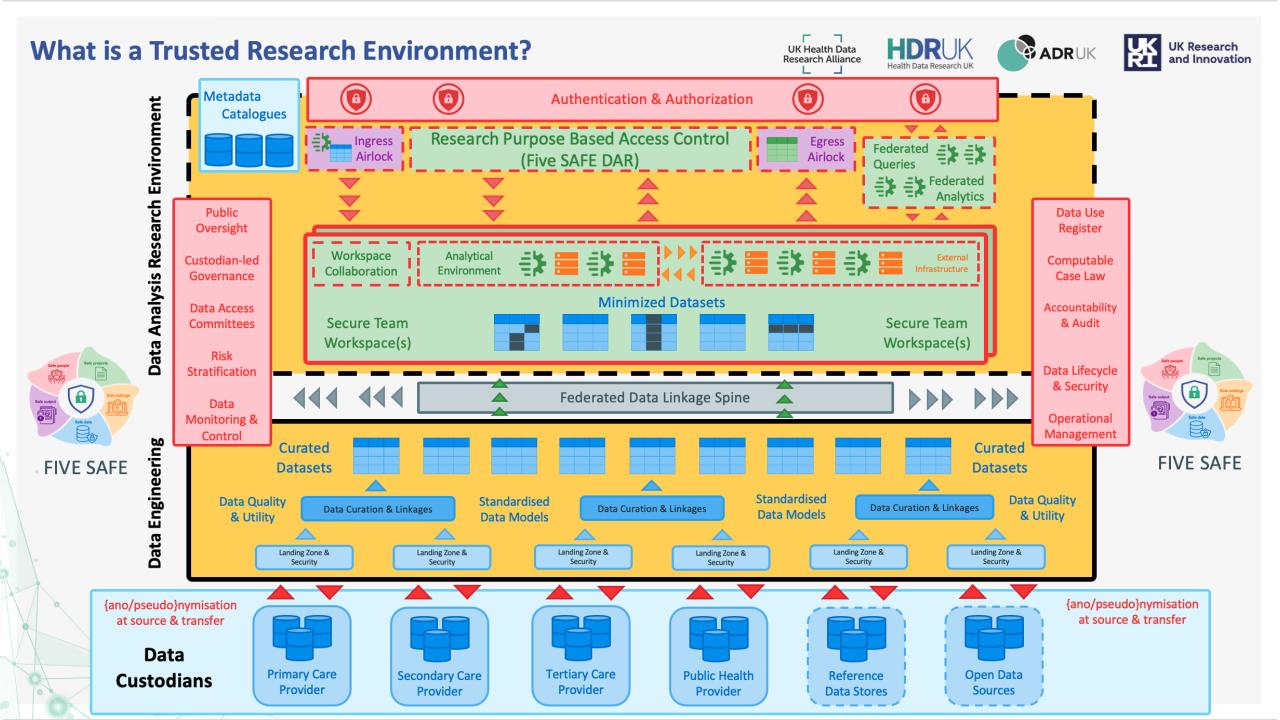
Making data available through a TRE means that people can be confident that their personal health data is accessed securely and their privacy protected. TREs help make research efficient, collaborative and cost effective, providing rich data that enables deep insights which will go on to improve healthcare and save lives.

TREs provide approved researchers with a single location to access valuable datasets. The data and analytical tools are all in one place, a bit like a secure reference library.

Learn more about TREs and discover examples of how TREs are being used to enable life-saving health research.

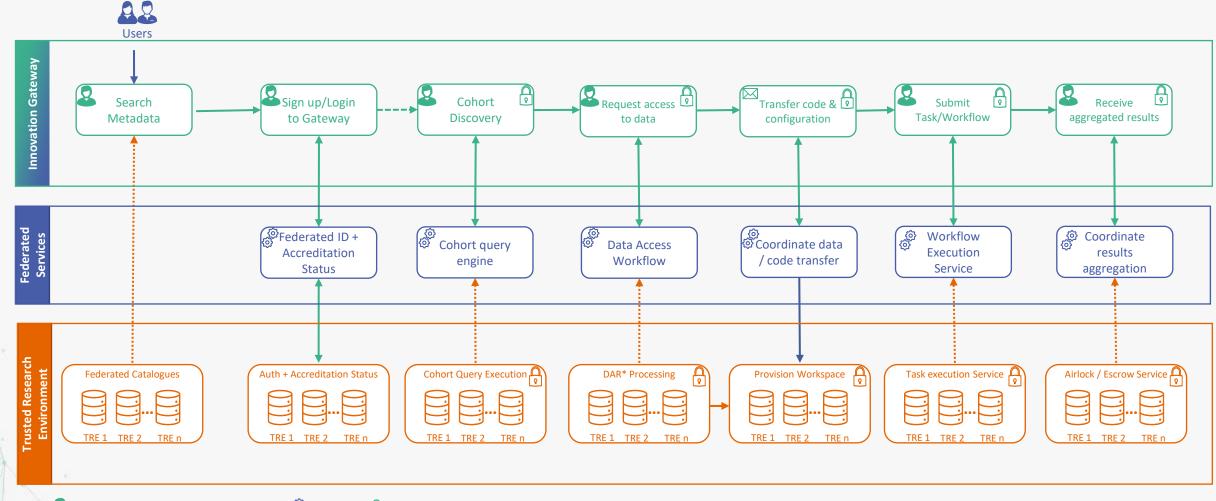
Learn more about TREs





Federated TRE User Journey – COVID-19 National Core Studies – Data & Connectivity HDRUK







Automated action

Process

Authenticated user action

Trusted Research Environments Landscape View (research only – non-exhaustive)

National TREs

- HSCNI Honest Broker Service
- PHS Scottish National Safe Haven
- NHS Digital
- UKSeRP
- ONS SRS
- OpenSAFELY

Commercial TREs

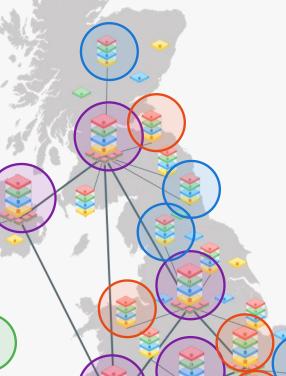
- AIMES
- AridhiaDRE
- AzureTRE
- AWS ServiceBench
- Lifebit
- ..

International TREs

- Terra.bio
- TEHDAS
- MedCo
- ICODA
- DNAStack
- •

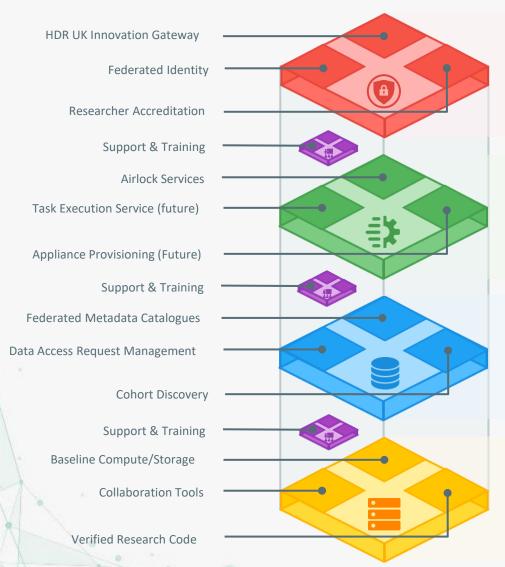
Domain/Location-Specific TREs (some being created Health Data

- Genomics England
- QResearch
- EMBL-EBI*
- CPRD
- Turing Institute
- MRC-CLIMB (COG-UK)
- PIONEER
- eMedLab
- DISCOVER-NOW
- UKHSA
- CIPHA
- Our Future Health*



The future of TREs is already here, just unevenly distributed & fragmented

Open, Federated and Interoperable Technology Stack for Trusted Research Environments



Identity Federation

Provides authenticated, authorized and auditable access to federated resources using standardized single sign-on and identity federation

Analytics Federation

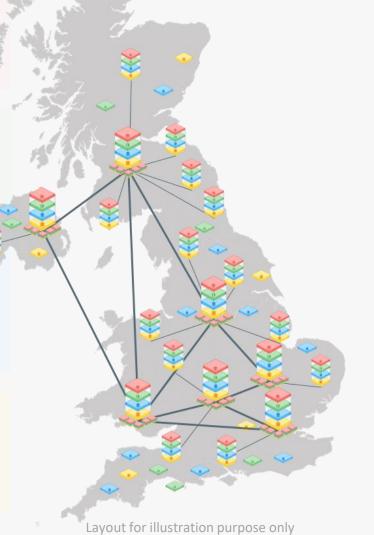
Reuse and combine portable tools and workflows to enhance healthcare delivery with advanced data-driven translational insights.

{Meta}Data Federation

Discover, explore, organize and securely access federated data for accelerating the translation into healthcare.

Infrastructure Federation

Immediate access to advanced & flexible hybrid cloudbased computational resource including access to specialized accelerators and container orchestration services.



FOREST – Federation Capability Maturity Model (Unbundling TREs)

Level 0

Level 1

Level 2

Level 3





- Locally verified authorization
- Local institutional access only
- Locally provisioned accounts with 2FA
- Consortium verified authorization
- Network restrictions and tunnels
- Self registration with 2FA
- Externally validated user claims
- Additional internal verification
- Browser based access

- Federated Identity SSO
- Externally validated standardized user passports & visa claims
- User delegation
- **SCIM**
- Browser based access





- Locally provisioned default software
- Remote Desktop Access
- Manual verification of code/lib
- Shared folders for collab.
- Local access to databases
- Locally provisioned software
- Remote desktop access
- Whitelisted code/lib import
- Shared folders for collab.
- Remote access to external databases
- Self-service code/lib import with manual verification
- · Managed data analytics
- Shared project workspace -Git, wiki, file store etc.
- Remote access to external databases
- Remote workflow/task submission
- Self-service code/lib import
- External project workspace
- Automated appliance / workspace provisioning





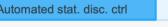
- Locally discoverable metadata
- Locally provisioned data
- Local data access request process
- No data ingress / egress
- Manual statistical disclosure control
- Data release to user

- High-level Metadata registered externally
- Local technical metadata
- External data access request
- Local data provisioning
- Data ingress & record linkage
- Manual statistical disclosure control
- Semi-automated metadata publishing
- Local/External technical metadata
- External cohort discovery
- External data access request
- Data ingress / egress
- Semi-auto stat. disc. ctrl.

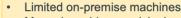
- Federated discovery of metadata
- Metadata linked externally
- Deep cohort phenotype query extraction
- Remote data access with access control











- Manual machine provisioning
- Data hosted on-prem
- Tenancy isolation
- No USB, copy/paste, internet access
- Multi-tenant Private Cloud
- Mixed OS environments
- Remote desktop and/or SSH access
- SEIM, Monitoring, Audit trails
- Multi-tenant Hybrid cloud
- Mixed OS environments
- Remote desktop and/or SSH access
- Burstable to access external services
- SEIM, Monitoring, Audit

- Fully Public cloud
- Burstable access to external services
- Software-defined workspace with compute / data / networking and security perimeter def.



Data Governance Structures / Design Patterns



	Governance Structures	Relationship	Data Availability	Permissions	Governance Challenges	IP, License & Contracts
• ×	Closed	One → None	Very Low	Very Low	Limits to collaboration	Enshrined in Law
$ \bigcirc - \bigcirc$	Restricted	One → Some	Very Low	Low	Limits to collaboration	Enshrined in Law
•—	One-to-one	One → One	Low	Low	Power asymmetry	DSA, Contract
	Clique	Some → Some	Low/Medium	Medium	Trusteeship	Consortium Agreement
	Trusted Research Environments	Some → Many	High	Low/Medium	Trusteeship / user/project validation	DSA, Contract
	Federated Analytics	Some → Many	High	Low/Medium	Trusteeship / user/project validation	DSA, Contract
	Federated Query	Some → Many	High/Medium	Low/Medium	Trusteeship / user validation	DSA, Contract
	Open Access	Some → Many	High	High	Revocation of rights	License
	Citizen Science	Many → Many	High	High	Uneven capacity for analysis	Contract or License

Operationalizing Data Governance at scale – TREs are not a silver bullet



- Principles FAIR, CARE?, SAFE?
- Requirements, Roles & Responsibilities, Rules of participation
- Interoperable Standards, Processes, Policies, Frameworks
- Modular Design Patterns, Software, Libraries
- Exemplars & Extensible Use Cases, Training, Awareness, Community
 Engagement











The future - building on these excellent foundations and partnerships to deliver a step change in benefits for UK science and population



- Data as Infrastructure building blocks to create interoperable global networks focused on collaboration and sharing
- Clinical/Scientific Driver Programmes that help guide our development efforts and pilot tools
- **UK wide** and at the centre of an international collaborative network of science
- Diverse data types beyond just NHS data (omics, biomedical, wearables, social sciences)
- Thought leadership and expertise for innovative approaches to trust, governance and standards for data collection and access
- Exemplar of team science and partnership working across industry, academia and healthcare
- Patient Involvement and Engagement at all stages of the research/innovation lifecycle
- **Training** the current and next generation of scientists to responsible, ethical and equitable use of AI

DARE UK - Key needs and opportunities dareuk.org.uk







Data and discovery, including technical standards such as data standards, metadata catalogues and common data models







2. Access and accreditation, including governance standards, rules and frameworks for enabling data access e.g., accreditation of TREs and researchers





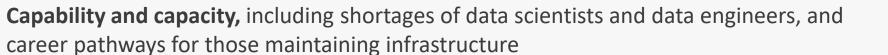


Digital research infrastructure, including the physical and software infrastructure





















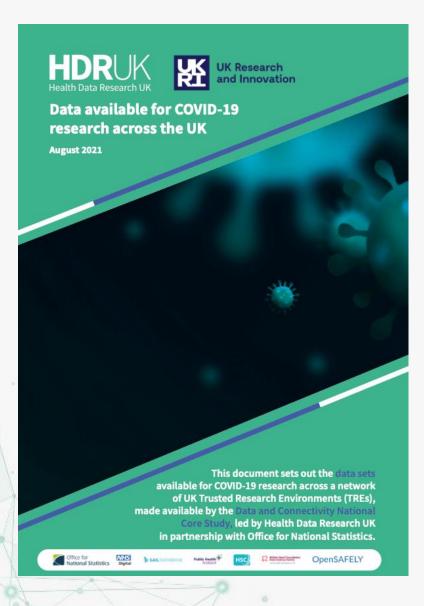




- Maintaining trust, namely demonstrating trustworthiness, gaining public and organisational trust, and addressing risk aversion of those holding data
- **6. Funding and incentives**, including the current research culture, need for sustained funding of infrastructure and research, and the responsibilities of different groups involved

career pathways for those maintaining infrastructure

COVID-19 National Core Studies – Data & Connectivity





Key outputs across the NCS programme to date



Publications in

academic journals, with

124 pre-prints





HDRUK white paper



190

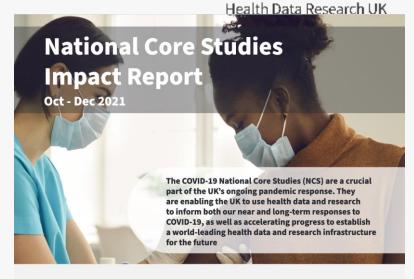
High-quality datasets made available via the **Health Data Innovation** Gateway, described in this brochure

NCS-linked data uses in the Data Use Register, a new standard for transparent public reporting shared in this

Researchers supported through 86 projects

bit.ly/HDRUK-NCS





The 6 National Core Studies are:

Epidemiology and Surveillance led by Ian Diamond (Office for National Statistics) collects and analyses data to inform restrictions and protection against imminent

Clinical Trials Infrastructure led by Patrick Chinnery (Medical Research Council) accelerates delivery of large scale COVID-19 trials for drugs and vaccines.

Transmission and Environment (also known as PROTECT) led by Andrew Curran (Health and Safety Executive) improves understanding of COVID-19 virus transmission in different settings and environments.

Immunity led by Paul Moss (University of Birmingham) supports research to improve understanding of immunity against COVID-19, to inform back-to-work policies.

Longitudinal Health and Wellbeing led by Nish Chaturvedi (University College London) and Jonathan Sterne (University of Bristol) uses data from longitudinal studies to address the impact of COVID-19 and inform mitigating strategies

Data and Connectivity led by Andrew Morris (Health Data Research UK) in partnership with Office for National Statistics makes UK-wide health and administrative data available to catalyse COVID-19 research.

This new quarterly report aims to:



Communicate the impact the National Core Studies are having on COVID-19 response



Promote NCS Open Science data, tools and resources to ensure they are



Highlight where advances & learning gained during NCS translates into a legacy of stronger health threat preparedness























Are there examples of research being conducted in TREs?

1,168 COVID-19 pre-prints and **98** published papers. Better Care, Understanding Causes of Disease, Clinical Trials & Public Health



FINDABLE









SAFE Data

Analysis



Reliable and

Reproducible

Research



National Core Studies

SAGE Reporting

Public & Patient Engagement











COVID-19

Improve and

Link Data

New Data

Sources

Make Data

FAIR

GENOMICS **UK CONSORTIUM**

> Example: Zoe COVID-19 symptom tracker dataset

Example: Hubs + CVD-COVID-19 For the first time, linked health data resource covering **54.4 million people**



British Heart Foundation ചർം Data Science Centre

Led by Health Data Research UK

112 datasets set up in **5** national trusted research environments – by National Core **Studies Data & Connectivity**

















293 COVID-19 research projects using national data custodian data 300 Health Data Research Hub contracts with industry & academia













>22,000 patient and public participants in health data research **HDR Standards: Trusted Research**

Environments, Data Utility, **Federation**

> Streamlined data access request process, harmonised across TREs. Time from application to active research: 3-14 days 1





Trustworthy Research









ACCESSIBLE

INTEROPERABLE

RE-USABLE

¹ Wales and Scotland data only





Thank you for listening

Here's some obligatory holiday snaps from the peak district

bit.ly/HDRUK-TRE



hdruk.ac.uk



healthdatagateway.org



Email: enquires@hdruk.ac.uk

Twitter: @HDR_UK

